

COPY

Form 1 Page 1 Execution Page	UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 APPLICATION FOR, AND AMENDMENTS TO APPLICATION FOR, REGISTRATION AS A NATIONAL SECURITIES EXCHANGE OR EXEMPTION FROM REGISTRATION PURSUANT TO SECTION 5 OF THE EXCHANGE ACT	Date filed (MM/DD/YY):	OFFICIAL USE ONLY
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WARNING: Failure to keep this form current and to file accurate supplementary information on a timely basis, or the failure to keep accurate books and records or otherwise to comply with the provisions of law applying to the conduct of the applicant would violate the federal securities laws and may result in disciplinary, administrative, or criminal action.

INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLATIONS

☐ APPLICATION ISE Gemini, LLC ☒ AMENDMENT

1. State the name of the applicant: _____
2. Provide the applicant's primary street address (Do not use a P.O. Box): 60 Broad Street
26th Floor New York, NY 10004
3. Provide the applicant's mailing address (if different): _____
SEP 02 2015
Washington DC
404
4. Provide the applicant's business telephone and facsimile number:
212 943-2400 212-509-3955
 (Telephone) (Facsimile)
5. Provide the name, title, and telephone number of a contact employee:
Ronan Cahill Senior Legal and Regulatory Associate 212-897-8152
 (Name) (Title)
6. Provide the name and address of counsel for the applicant:
Michael Simon
60 Broad Street
New York, NY 10004
7. Provide the date applicant's fiscal year ends: December 31, 2016
8. Indicate legal status of applicant: ☐ Corporation ☐ Sole Proprietorship ☐ Partnership
 ☒ Limited Liability Company ☐ Other (specify): _____

If other than a sole proprietor, indicate the date and place where applicant obtained its legal status (e.g. state where incorporated, place where partnership agreement was filed or where applicant entity was formed):

(a) Date (MM/DD/YY): 05/30/2012 (b) State/Country of formation: Delaware

(c) Statute under which applicant was organized: Delaware LLC Act 6 Del C section 18-101

EXECUTION: The applicant consents that service of any civil action brought by, or notice of any proceeding before, the Securities and Exchange Commission in connection with the applicant's activities may be given by registered or certified mail or confirmed telegram to the applicant's contact employee at the main address, or mailing address if different, given in Items 2 and 3. The undersigned, being first duly sworn, deposes and says that he/she has executed this form on behalf of, and with the authority of, said applicant. The undersigned and applicant represent that the information and statements contained herein, including exhibits, schedules, or other documents attached hereto, and other information filed herewith, all of which are made a part hereof, are current, true, and complete.

Date: 09/01/2015 ISE Gemini, LLC
 (MM/DD/YY) (Name of applicant)

By: [Signature] Ronan Cahill Legal Associate
 (Signature) (Printed Name and Title)

Subscribed and sworn before me this 1 day of Sept, 2015 by Eleonor Gnecco
 (Month) (Year) (Notary Public)

My Commission expires 12/4/2018 County of New York State of New York

This page must always be completed in full with original, manual signature and notarization.
 Affix notary stamp or seal where applicable.

Notary Public - State of New York
 NO. 01GN6062263
 Qualified in New York County
 My Commission Expires Dec 4, 2018



September 1, 2015

VIA FEDERAL EXPRESS

Chris Grobbel
U.S. Securities and Exchange Commission
Division of Market Regulation
Office of Market Supervision
100 F Street NE
Mail Stop 6628
Washington, DC 20549

Re: ISE Gemini, LLC
SEC Rule 6a-2 and 6a-3 Materials

Dear Mr. Grobbel:

Pursuant to Rule 6a-2(a) of the Securities Exchange Act of 1934, this is an update of the ISE Gemini, LLC's ("ISE Gemini") Form 1.

In addition, pursuant to Rule 6a-3(a), within the last 10 days, ISE Gemini issued or has made available certain material to its members, participants or subscribers. The material can be found at the following locations on ISE Gemini's website:

Options

New Listings:

<http://ise.com/newlistings>

Delistings:

<http://ise.com/delistings>

Expiration Notices:

<http://ise.com/expirationnotices>

Series Additions/Deletions:

<http://ise.com/serieslist>

Market Information Circulars:

<http://ise.com/mics>

Index Options

Recent Index Changes:

<http://ise.com/newlistings>

Index Settlement Values:

<http://www.ise.com/options/products-traded/index-settlement-values>

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Legal & Regulatory

Regulatory Information Circulars:

<http://www.ise.com/rics>

Rules:

<http://www.ise.com/rules>

Marketing Material

Press Releases:

<http://www.ise.com/press-room/press-releases/>

Publications:

<http://www.ise.com/publications>

If you have any questions on these materials, please do not hesitate to contact me at (212) 897-8152.

Sincerely,

Ronan Cahill
Senior Legal & Regulatory Associate

Exhibit M

Changes since August 14, 2015

Approved Members:

Sumo Capital, LLC
440 S. LaSalle St. Suite 2101
Chicago, IL 60605
Competitive Market Maker
Competitive Market Maker 1 Trading Rights
Contact: Michelle Malmstrom (312) 690-4453

ORIGIN D:SYA (212) 897-8152
RONAN CAHILL
60 BROAD STREET
NEW YORK, NY 10004
UNITED STATES US

SHIP DATE: 01SEP15
ACTWGT: 1.00 LB
CAD: 101210647/MET3670

BILL SENDER

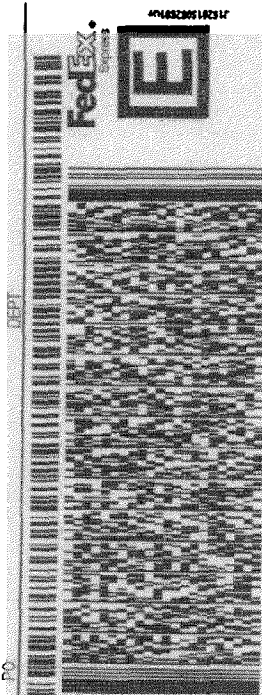
TO CHRIS GROBBEL

US SECURITIES & EXCHANGE COMMISSION
OFFICE OF MARKET SUPERVISION
100 F STREET NE, MAIL STOP 6628
WASHINGTON DC 20549

(202) 551-5658
NW

REF:

539J2/CB89G100



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PRIORITY OVERNIGHT

TRK# 7744 1527 1838
0201

To: Grobbel, Christoph
Department: HQ TM
Phone: 202.551.5491
Route: HQ-7a
Mail Stop: 7010
Building: SP1

Package Type:
Sender Name:

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